FFY 2006 ANNUAL SYNAR REPORT

42 U.S.C. 300x-26 OMB № 0930-0222

Submitted by:

Department of Social and Health Service Division of Alcohol and Substance Abuse PO Box 45330 Olympia, WA 98504-5330

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U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES
Substance Abuse and Mental Health Services Administration
Center for Substance Abuse Prevention
www.samhsa.gov

FFY 2006: FUNDING AGREEMENTS/CERTIFICATIONS

The following form must be signed by the Chief Executive Officer or an authorized designee and submitted with this application. Documentation authorizing a designee must be attached to the application.

PUBLIC HEALTH SERVICES ACT AND SYNAR AMMENDMENT

42 U.S.C. 300x-26 requires each State to submit an annual report of its progress in meeting the requirements of the Synar Amendment and its implementing regulation (45 C.F.R. 96.130) to the Secretary of the Department of Health and Human Services. By signing below, the chief executive officer (or an authorized designee) of the applicant organization certifies that the State has complied with these reporting requirements and the certifications as set forth below.

SYNAR SURVEY SAMPLING METHODOLOGY

The State certifies that the Synar survey sampling methodology on file with the Center for Substance Abuse Prevention and submitted with the Annual Synar Report for FFY 2005 is up-to-date and approved by the Center for Substance Abuse Prevention.

SYNAR SURVEY INSPECTION PROTOCOL

The State certifies that the Synar Survey Inspection Protocol on file with the Center for Substance Abuse Prevention and submitted with the Annual Synar Report for FFY2006 is up-to-date and approved by the Center for Substance Abuse Prevention.

| State: | Washington | | | | | |
|--------|--------------------------------------------------------------------|-----------------------------------------|--|--|--|--|
| Name | Name of Chief Executive Officer or Designee: Robin Arnold-Williams | | | | | |
| Signat | ture of CEO or Designee: | | | | | |
| Title: | Secretary | Date Signed: | | | | |
| | If signed by a designee, a co | opy of the designation must be attached | | | | |

SECTION I: FFY 2006 (Compliance Progress)

YOUTH ACCESS LAWS, ACTIVITIES, AND ENFORCEMENT

42 U.S.C. 300x-26 requires the States to report information regarding the sale/distribution of tobacco products to individuals under age 18.

| 1. | relating photoc | indicate any changes or additions to the State tobacco statute(s) to youth access since the last reporting year. Please attach a opy of the change(s) in the State law(s) if any was made since the last ng year. (See 42 U.S.C. 300x-26) | | | | |
|----|--------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|--|--|--|
| | a. | Has there been a change in the <i>minimum sale age</i> for tobacco products? ☐ Yes ☒ No | | | | |
| | | If Yes, current minimum age: | | | | |
| | b. | Have there been any changes in State law that impact the State's protocol for conducting Synar inspections? \square Yes \boxtimes No | | | | |
| | | If Yes , indicate change (check all that apply): | | | | |
| | | ☐ Changed to require that law enforcement conduct inspections of tobacco outlets | | | | |
| | | ☐ Changed to make it illegal for youth to possess, purchase or receive tobacco | | | | |
| | | ☐ Changed to require ID to purchase tobacco | | | | |
| | | Other change(s) (please describe): | | | | |
| | c. | Have there been any changes in the law concerning <i>vending</i> machines? | | | | |
| | | ☐ Yes ⊠ No | | | | |
| | | If Yes , indicate change (check all that apply): ☐ Total ban enacted | | | | |
| | | Banned from location(s) accessible to youth | | | | |
| | | Locking device or supervision required | | | | |
| | | Other change(s) (please describe): | | | | |
| | d. | Have there been any changes in State laws that impact the following? | | | | |
| | | Licensing of tobacco vendors | | | | |
| | | Penalties for sales to minors | | | | |

| 2. | | see 42 U.S.C. 300x-51) were made public within the State. (Check all that |
|----|-----------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------|
| | | Placed on file for public review Posted on a State agency Web site Notice published in a newspaper or newsletter Public hearing |
| | in | Announced in a news release, a press conference, or discussed in a media terview |
| | | Distributed for review as part of the SAPT Block Grant application process |
| | | Distributed through the public library system |
| | | Published in an annual register |
| | <u>st</u> | Other change(s) (please describe): E-mailed to large number of akeholders, state-level partners, tribal and county contractors, and providers a list-serves. |
| 3. | Identif 96.130 | y the following agency or agencies. (See 42 U.S.C. 300x-26 and 45 C.F.R. |
| | a. | The State agency(s) designated by the Governor for oversight of the Synar requirements: |
| | | Washington State Department of Social and Health Services Division of Alcohol and Substance Abuse |
| | | Has this changed since last year's Annual Synar Report? Yes No |
| | b. | The State agency(s) responsible for conducting random, unannounced Synar inspections: |
| | | Washington State Department of Health |
| | | Has this changed since last year's Annual Synar Report? Yes No |
| | c. | The State agency(s) responsible for enforcing youth tobacco access law(s): |
| | | Washington State Liquor Control Board |
| | | Has this changed since last year's Annual Synar Report? Yes No |
| 4. | ldentif activiti | y the State agency(s) responsible for tobacco prevention control es. |
| | | Washington State Department of Health |

| | H | las the re | sponsible agency ch | anged since | last year's | Annual | Synar Re | port? |
|----|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------|------------------|--------|----------|--------|
| | | Yes | ⊠ No | | | | | |
| | а | agency | oe the coordination responsible for to rsight of the Synar | bacco cont | rol and the | agenc | y respon | sible |
| | | Are | the same | | | | | |
| | | | e a formal written m | | of agreeme | ent | | |
| | | | e an informal partne | • | | | | |
| | | | duct joint planning a | ctivities | | | | |
| | | | nbine resources | | | | ·/ \ | |
| | | | e other collaborative | • | · / · · · | | , | |
| 5. | Please answer the following questions regarding the State's activities to enforce the youth access to tobacco law(s) in FFY 2004. (See 42 U.S.C. 300x-26 and 45 C.F.R. 96.130(e)) | | | | | | | |
| | a. Which one of the following describes the enforcement of youth access to tobacco laws carried out in your State? (Check one category only) | | | | | | | |
| | ☐ Enforcement is conducted exclusively by local law enforcement agencies. | | | | | | | |
| | | | orcement is conducted browning in the conduc | | | • • • | , | |
| | b. The following items concern penalties imposed for violations of youth access to tobacco laws by <u>LOCAL AND/OR STATE LAW</u> <u>ENFORCEMENT AGENCIES.</u> Please fill in the number requested or indicate if these data are unavailable or the item is not applicable. | | | | | | | |
| | Each violation is referred to the regional Liquor Control Board (LCB) office for issuance of an administrative violation notice (AVN). We do not have the breakdown for owners and clerks. Fines depend largely on whether the AVN is contested or whether a mitigation agreement is negotiated. We do know that seven recipients of AVN's appealed to the Liquor Control Board. The Board upheld all of the AVN's, with penalties, and dismissed none. | | | | | | | |
| | п | | | | | | If Ava | ilable |
| | | PENALTY | | NOT APPLICABLE | NOT AVAILABLE | TOTAL | OWNERS | CLERKS |
| | | Number of o | citations issued | | | 59 | | |

 \boxtimes

 \boxtimes

Number of fines assessed

Number of <u>permits/licenses</u> <u>suspended</u>

| Number of permits/licenses revoked | \boxtimes | | |
|------------------------------------|-------------|--|--|
| Other (please describe): | | | |
| | | | |

c. What additional activities are conducted in your State to support enforcement and compliance with State tobacco access law(s)? (Check all that apply)

| (Check all that apply) |
|-------------------------------------------------------------------------------------------|
| ☑ Merchant education and/or training |
| Incentives for merchants who are in compliance (e.g., Reward and Reminder) |
| ☐ Community education regarding youth access laws |
| Media use to publicize compliance inspection results |
| Community mobilization to increase support for retailer compliance with youth access laws |
| Other activities (please list): |

Briefly describe all checked activities:

Merchant education – The LCB delivered 875 merchant education programs between September 1, 2003 and August 31, 2004 for more than 9,300 participants. The education programs cover both alcohol and tobacco licensing requirements. A new video explaining licensing laws was introduced in July 2004.

Incentives --- Local contractors for DOH provide vendors with letters of recognition, engraved placards and public press releases recognizing their efforts in decreasing tobacco sales to youth.

Community education – Local contractors for the DOH deliver multiple community education programs regarding youth access and other tobaccorelated issues.

Media – The DOH uses Master Settlement Agreement funds to support a comprehensive statewide media campaign regarding tobacco issues. The messages are primarily targeted at encouraging youth not to start smoking.

Community mobilization – a number of activities are underway to mobilize communities around tobacco issues. DOH local tobacco prevention and control contractors are crucial to these efforts.

SYNAR SURVEY METHODS AND RESULTS

The following questions pertain to the survey methodology and results of the Synar survey used by the State to meet the requirements of the Synar Regulation in FFY 2004. (See 42 U.S.C. 300x-26 and 45 C.F.R. 96.130)

| 6. | Has th | ne sampli | ng meth | odolo | gy chan | ged fro | m the previou | ıs year? | |
|----|--------|-------------------------|-----------------------------------|----------------------------|-------------------------------------|------------------|-------------------------------------------------------------------|--------------------------------|---------------------|
| | | Yes | ⊠ No | | | | | | |
| | s S | ampling n Survey Sal | nethodolo mpling M om the p | ogy on ethodo reviou | file with ology (Ap s reporti | CSAP. opendix | l up-to-date de Please submi B). If the sam these change | it a copy of your pling method | our Synar Iology |
| 7. | | | | | | | rding the Stat s. (See 45 C.) | | |
| | а | | State us | | - | - | Survey Estin | nation Syste | m (SSES) |
| | | ⊠ Yes | ; [| No | | | | | |
| | | | attach S ntinue to | | • | tables | 1, 2, 3 and 4 a | nd go to Que | stion 8. If |
| | b | • | the weig | • | | _ | d Retailer Vio | lation Rate (| RVR) |
| | | Unweig | jhted RV | 'R | | | | | |
| | | Weight | ed RVR | | | | | | |
| | | Standa | rd error | (s.e.) | of the (v | veighte | d) RVR | | |
| | | | he blank ence inte | | alculate | the <u>rig</u> | <u>ht limit</u> of the | right-sided | 95% |
| | | | | + | (1.645 | × |) | = _ | |
| | | | VR mate | Plus | (1.645 | times | Standard Er | ror) equals | Right Limit |
| | С | . Fill out | Form 1 | in Apı | pendix A | A (Form | s). (Required i | regardless of | the |

sample design)

| d. | How were the (weighted) RVR estimate and its standard obtained? (Check the one that applies) | error | | | |
|----|----------------------------------------------------------------------------------------------------------------------------------|----------------|--|--|--|
| | ☐ Form 2 (Optional) in Appendix A (Forms) (Attach complete | ed Form 2) | | | |
| | Other (Please specify. Provide formulae and calculations explain the program code and output with description of a names.) | | | | |
| e. | If stratification was used, did any strata in the sample co- outlet or cluster this year? | ntain only one | | | |
| | ☐ Yes ☐ No ☐ No stratification | | | | |
| | If Yes , explain how this situation was dealt with in variance e. | stimation. | | | |
| f. | Was a cluster sample design used? | | | | |
| | ☐ Yes ☐ No | | | | |
| | If No , go to Question 7g. | | | | |
| | If Yes, fill out and attach Form 3 in Appendix A (Forms), and answer the following question: | | | | |
| | Were any certainty primary sampling units selected this | year? | | | |
| | ☐ Yes ☐ No | | | | |
| | If Yes , explain how the certainty clusters were dealt with in vaestimation. | ariance | | | |
| g. | Report the following outlet sample sizes for the Synar su | rvey. | | | |
| | | Sample Size | | | |
| | Effective sample size (sample size needed to meet the SAMHSA precision requirement assuming simple random sampling) | | | | |
| - | Target sample size (the product of the effective sample size and the | | | | |

h. Fill out Form 4 in Appendix A (Forms).

Original sample size (inflated sample size of the target sample to counter the sample attrition due to ineligibility and non-completion)

Eligible sample size (number of outlets found to be eligible in the

Final sample size (number of eligible outlets in the sample for which an

design effect)

inspection was completed)

sample)

| 8. | Did | the | State's Synar survey use a list frame? | | | | | |
|----|----------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------|------------------------|--------------|----------------------------------------------|--|--|
| | | ⊠ Yes □ No | | | | | | |
| | | If Yes, answer the following questions about its coverage: | | | | | | |
| | | a. | The calendar year of the latest frame covera | ige study: <u>20</u> | 003 | | | |
| | | b. | Percent coverage from the latest frame coverage | erage study: | 91% | <u>) </u> | | |
| | | c. Was a new study conducted in this reporting period? \square Yes \boxtimes No | | | | | | |
| | If Yes, please complete Appendix D (List Sampling Frame Coverage Study) and submit it with the Annual Synar Report. | | | | | | | |
| | | d. | The calendar year of the next coverage stud | ly planned: 2 | <u> 2007</u> | | | |
| | Has ar? | the | e Synar survey inspection protocol change | ed from the | prev | rious | | |
| | | ☐ Yes ⊠ No | | | | | | |
| | | The State is required to have an approved up-to-date description of the Synar inspection protocol on file with CSAP. Please submit a copy of your Synar Survey Inspection Protocol (Appendix C). If the inspection protocol changed from the previous year, these changes must be reflected in the protocol submitted. | | | | | | |
| | | a. | Provide the inspection period: From: | 01/15/2005 MM/DD/YY | То: | 06/30/2005 MM/DD/YY | | |
| | | b. | Provide the number of youth inspectors us year: 76 | ed in the cur | rent | inspection | | |
| | | C. | Fill out and attach Form 5 in Appendix A (F State used the Synar Survey Estimation Syste Synar survey data) | , , | • | | | |

SECTION II: FFY 2007 (Intended Use):

Public law 42 U.S.C. 300x-26 of the Public Health Service Act and 45 C.F.R. 96.130 (e) (4, 5) require that the States provide information on future plans to ensure compliance with the Synar requirements to reduce youth tobacco access.

1. In the upcoming year, does the State anticipate any changes in the:

| | Synar sampling methodology |
|----|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| | Synar inspection protocol |
| | If changes are made in either the Synar sampling methodology or the Synar inspection protocol, the State is required to obtain approval from CSAP prior to implementation of the change and file an updated Synar Survey Sampling Methodology (Appendix B) or an updated Synar Survey Inspection Protocol (Appendix C), as appropriate. |
| 2. | Please describe the State's plans to maintain and/or reduce the target rate for Synar inspections to be completed in FFY 2007. Include a brief description of plans for law enforcement efforts to enforce youth tobacco access laws, activities that support law enforcement efforts to enforce youth tobacco access laws, and any anticipated changes in youth tobacco access legislation or regulation in the State. |
| | The state plans the same level of effort – compliance checks by the Liquor Control Board as part of its enforcement responsibilities, youth access compliance checks by Department of Health and 35 Local Health Jurisdictions and contractors (Synar checks are a part of this effort), and a contract from DASA with Public Health Seattle King County to provide supplemental checks in areas with high violation rates. |
| 3. | Describe any challenges the State faces in complying with the Synar regulation. (Check all that apply) |
| | ☐ Limited resources for law enforcement of youth access laws ☐ Limited resources for activities to support enforcement and compliance with youth tobacco access laws |
| | Limitations in the State youth tobacco access laws |
| | Limited public support for enforcement of youth tobacco access laws |
| | Limitations on completeness/accuracy of list of tobacco outlets |
| | Limited expertise in survey methodology |
| | Laws/regulations limiting the use of minors in tobacco inspections |
| | Difficulties recruiting youth inspectors |
| | Geographic, demographic, and logistical considerations in conducting inspections |
| | □ Cultural factors (e.g., language barriers, young people purchasing for their elders) |
| | |

| Other challenges | (please | list): |
|------------------|---------|--------|
|------------------|---------|--------|

Briefly describe all checked challenges:

If there was money specifically targeted for youth access enforcement, such as when the FDA was providing funding for checks, we would be able to dedicate specific officers and specific time to the effort. Without specific funding, youth access enforcement is something that gets done as part of the overall law enforcement job and that can mean that the importance of youth access enforcement can sometimes be overlooked.

Washington State has many lightly populated areas that require extensive time and resources in order to complete checks.

Washington State's population is quite diverse and includes a number of relatively recent immigrants to the United States who come from cultures where it is highly unusual not to smoke. Many sales to minors have come from stores owned and operated by these recent arrivals.

There are 29 Federally recognized tribes in the state, and 25 of them have reservation lands upon which state laws – youth access and tobacco taxes among them - cannot be enforced. Though it is expressly forbidden for non-Indians to buy untaxed tobacco products from Indian tobacco outlets, enforcement is very awkward and uneven. The same situation exists with access by youth, though we do not know the extent of the problem of sales to minors through Indian tobacco outlets.

APPENDIX B

| | | CANAD CHDACA CAMPING METHODOLOG |
|--------|------|---------------------------------|
| FFY: | 2005 | |
| STATE: | WA | |

| ii viilat typo oi oaiiipiiiig ii aiiio io acca | 1. | What type | of sam | pling t | frame | is | used |
|------------------------------------------------|----|-----------|--------|---------|-------|----|------|
|------------------------------------------------|----|-----------|--------|---------|-------|----|------|

| | (Go to Question 2) |
|----------------------------|--------------------|
| ☐ Area frame | (Go to Question 3) |
| ☐ List-assisted area frame | (Go to Question 2) |

** 2. List all sources of the list frame. Indicate the type of source from the list below. Provide a brief description of the frame source. Explain how the lists are updated (method), including how new outlets are identified and added to the frame. In addition, explain how often the lists are updated (cycle). (After completing this question, go to Question 4)

Use the corresponding number to indicate Type of Source in the table below:

- 1 Statewide commercial business list
 - **4 –** Statewide retail license/permit list
- 2 Local commercial business list
- **5 –** Statewide liquor license/permit list
- **3 –** Statewide tobacco license/permit list **6 –** Other

| Name of Frame Source | Type of Source | Description | Updating Method and Cycle |
|---------------------------------------------------|-------------------|-------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Washington State Department of Licensing | 3 | List of tobacco retailers, cleaned of duplicates and vending machines since they are inaccessible to youth. | All retailers are required to renew tobacco licenses no later than June 30 of each year. The list of licensees is updated at that time as well as on an on-going basis throughout the year to reflect new applications for licenses that are submitted/approved. DOH draws the sample from the list provided by Dept of Licensing in November of each year. |
| | | | |

3. If an area frame is used, describe how area sampling units are defined and formed.

| | a. | Is any area left out in the formation of the area frame? \square Yes $\ igtimes$ No |
|----|-------------|------------------------------------------------------------------------------------------------------------------------------------|
| | | If Yes , what percentage of the State's population is not covered by the area frame? |
| | | % |
| 4. | | I regulation requires that vending machines be inspected as part of the survey. Are vending machines included in the Synar survey? |
| | | Yes 🖂 No |
| | If I | No, please indicate the reason they are not included in the Synar survey. |
| | | State law bans vending machines |
| | | State law bans vending machines from locations accessible to youth |
| | | State has SAMHSA approval to exempt vending machines from the survey |
| | \boxtimes | Other (please describe): |
| | | Vending machines are not accessible to youth |
| 5. | Which | category below best describes the sample design? (Check only one) |
| | | Census (STOP HERE: Appendix B is complete) |
| | Ur | nstra <u>tif</u> ied State-wide sample: |
| | | Simple random sample (go to Question 9) |
| | | Systematic random sample (go to Question 6) |
| | | ☐ Single-stage cluster sample (go to Question 8)☐ Multi-stage cluster sample (go to Question 8) |
| | | |
| | St | ratified sample: |
| | | Simple random sample (go to Question 7) |
| | | ☐ Systematic random sample (go to Question 6☐ Single-stage cluster sample (go to Question 7) |
| | | ☐ Multi-stage cluster sample (go to Question 7) |
| | | Other (please describe): |
| | | Other (please describe and go to Question 9): |
| 6. | | te the systematic sampling methods. (After completing Question 6, go to Question iffication is used. Otherwise, go to 9) |

- 7. Provide the following information about stratification
 - a. Provide a full description of the strata that are created.

Stratum 1 – King County

Stratum 2 – Non- King County – the state's other 38 counties

b. Is clustering used within the stratified sample?

Yes (go to Question 8)

No (go to Question 9)

- 8. Provide the following information about clustering
 - a. Provide a full description of how clusters are formed. (If multi-stage clusters are used, give definitions of clusters at each stage.)
 - b. Specify the sampling method (simple random, systematic, or probability proportional to size sampling) for each stage of sampling and describe how the method(s) is (are) implemented.
- 9. Provide the formulae for determining the effective, target, and original outlet sample sizes.

The effective sample size is determined by

$$S = \frac{Z^2 p(1-p)}{D^2}$$

where D = 3%, Z = 1.96 and P = estimated noncompliance rate of previous year.

The target sample size is the effective sample size adjusted for deff of 1.1. That is, the target sample size = 1.1 * (effective sample size).

The original sample size is the target sample size adjusted for ineligibility rate R_1 and non-completion rate R_2 . Then, the original sample size = (target sample size) $/(R_1R_2)$.

The Department of Health calculates an over-sample of an additional 338 retailers over and above the minimum sample size of 486, for a total of 824.

APPENDIX C

| STATE: | WA | | | | | | |
|---------|---------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|--|--|--|
| FFY: | 2006 | | | | | | |
| | | | | | | | |
| SYNAR S | URVEY INSPECTION PRO | OTOCOL | | | | | |
| Note: A | ttach a copy of the inspection result. | inspection form a | nd protocol used to record the | | | | |
| 1. How | does the State Synar s | survey protocol add | Iress the following? | | | | |
| ; | a. Consummated buy at | tempts? | | | | | |
| | ⊠ Required | | ☐ Not Permitted | | | | |
| | Permitted under spe | ecified circumstances | Not specified in protocol | | | | |
| 1 | b. Youth inspectors to ca | arry ID? | | | | | |
| | Required | | ☐ Not Permitted | | | | |
| | Permitted under spe | ecified circumstances | Not specified in protocol | | | | |
| • | c. Adult inspectors to en | iter the outlet? | | | | | |
| | Required | | ☐ Not Permitted | | | | |
| | Permitted under spe | ecified circumstances | Not specified in protocol | | | | |
| • | d. Youth inspectors to b | e compensated? | ed? | | | | |
| | Required | | Not Permitted ■ | | | | |
| | Permitted under spe | ecified circumstances | Not specified in protocol | | | | |
| | ify the agency(s) or en nounced Synar inspec | | conduct the random, utlets. (Check all that apply) | | | | |
| | Law enforcement age State or local govern Private contractor(s) Other | | r than law enforcement | | | | |
| | List the agency name(s): | Washington State through contracts wand other local age compliance checks | are coordinated by the Department of Health (DOH) with 35 local health jurisdictions encies. Additional tobacco are conducted by DOH, the local and the Washington State Liquor | | | | |

| | 3. | law enforce | nent issue w | varnings or citations to retailers found in e time of the inspection)? |
|----|---------------------|--------------------------------------------|----------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| | | Always U | Sually 🛚 Soi | metimes Rarely Never |
| 4. | | oe the methooupervisors. | ds used to re | ecruit, select, and train youth inspectors and |
| | specifie volunte | d period. Met ers vary by loc | hods for recru cal community | d prepare to complete the checks within the uitment and selection of youth and adult y. DOH frequently suggests recruitment shed youth organizations, upon request. |
| | 5. | State to add | _ | or procedural requirements instituted by the ue of youth inspectors' immunity when? |
| | a. | Legal | ⊠ Yes | No (If Yes, please describe): |
| | | letter of imm | nunity from the | e accompanied either by a LCB agent, or carry a e local health department's Health Officer unity by state law). |
| | b. | Procedural | ⊠ Yes | No (If Yes, please describe): |
| | | letter of imm | nunity from the | e accompanied either by a LCB agent, or carry a e local health department's Health Officer unity by state law). |
| 6. | addres | | f the safety o | dural requirements instituted by the State to of youth inspectors during all aspects of the |
| | a. | Legal | ☐ Yes | No (If Yes, please describe): |
| | b. | Procedural | ⊠ Yes | No (If Yes, please describe): |
| | | where the yeare in the im safety of the | outh feels und nmediate vicin | aff are instructed not to enter any establishment comfortable. LCB, health staff, or adult voluntee nity during any compliance check operation. The tive always takes priority over protocol or ion. |
| 7. | how ins | | to be condu | cedural requirements the State has regarding ucted (e.g., age of youth inspector, time of occur)? |
| | - | Legal | Yes | No (If Yes, please describe): |
| | | | | · |

| b. | Procedural | ⊠ Yes | No (If Yes, please describe): |
|----|------------|-------|-------------------------------|
| ~. | oooaaiai | | |

Synar inspections are conducted according to a statewide protocol for inspections that is followed by local health jurisdictions. It specifies the age of inspectors and provides a framework from which training is conducted for youth inspectors.

SSES Table 1 (Synar Survey Estimates and Sample Sizes)

CSAP-SYNAR REPORT

| State | WA |
|---------------------------|-----------------------------|
| Federal Fiscal Year (FFY) | 2006 |
| Date | 12/1/2005 10:40 |
| Data | SSES WA Synar2005_final.xls |
| Analysis Option | Stratified SRS with FPC |

Estimates

| Unweighted Retailer Violation Rate | 9.8% |
|--------------------------------------|---------------|
| Weighted Retailer Violation Rate | 9.6% |
| Standard Error | 1.1% |
| Is SAMHSA Precision Requirement met? | YES |
| Right-sided 95% Confidence Interval | [0.0%, 11.5%] |
| Two-sided 95% Confidence Interval | [7.4%, 11.8%] |
| Design Effect | 1.0 |
| Accuracy Rate (unweighted) | 77.1% |
| Accuracy Rate (weighted) | 77.4% |
| Completion Rate (unweighted) | 96.6% |

Sample Size for Current Year

| Effective Sample Size | 486 |
|------------------------------|-------|
| Target (Minimum) Sample Size | 535 |
| Original Sample Size | 812 |
| Eligible Sample Size | 626 |
| Final Sample Size | 605 |
| Overall Sampling Rate | 10.1% |

SSES Table 2 (Synar Survey Results by Stratum and by OTC/VM)

STATE: WA FFY: 2006

| Samp. Stratum | Var. Stratum | Outlet Frame Size | Estimated Outlet Population Size | Number of PSU Clusters Created | Number of PSU Clusters in Sample | Outlet Sample Size | Number of Eligible Outlets in Sample | Number of Sample Outlets Inspected | Number of Sample Outlets in Violation | Retailer Violation Rate(%) | Standard Error(%) |
|------------------|------------------|-------------------------|-------------------------------------------|-----------------------------------------|----------------------------------------------|--------------------------|-----------------------------------------------------|------------------------------------------------|------------------------------------------------------|----------------------------------|----------------------|
| | | | | | All C | Outlets | | | | | |
| 1 | 1 | 2,331 | 1,942 | N/A | N/A | 210 | 175 | 173 | 12 | 6.9% | |
| 2 | 2 | 5,476 | 4,102 | N/A | N/A | 602 | 451 | 432 | 47 | 10.9% | |
| Total | | 7,807 | 6,044 | | | 812 | 626 | 605 | 59 | 9.6% | 1.1% |
| | | | | Ov | er the Co | ounter O | utlets | | | | |
| 1 | 1 | 2,331 | 1,942 | N/A | N/A | 210 | 175 | 173 | 12 | 6.9% | |
| 2 | 2 | 5,476 | 4,102 | N/A | N/A | 602 | 451 | 432 | 47 | 10.9% | |
| Total | | 7,807 | 6,044 | | | 812 | 626 | 605 | 59 | 9.6% | 1.1% |
| | Vending Machines | | | | | | | | | | |
| 1 | 1 | 0 | 0 | N/A | N/A | 0 | 0 | 0 | 0 | 0.0% | |
| 2 | 2 | 0 | 0 | N/A | N/A | 0 | 0 | 0 | 0 | 0.0% | |
| Total | | 0 | 0 | | | 0 | 0 | 0 | 0 | 0.0% | 0.0% |

SSES Table 3 (Synar Survey Sample Tally Summary)

STATE: WA FFY: 2006

| Disposition Code | Description | Count | Subtotal |
|---------------------|------------------------------------------------|-------|----------|
| EC | Eligible and inspection complete outlet | 605 | |
| Total (Eligible | | | |
| Completes) | | | 605 |
| N1 | In operation but closed at time of visit | 3 | |
| N2 | Unsafe to access | 1 | |
| N3 | Presence of police | 0 | |
| N4 | Youth inspector knows salesperson | 0 | |
| N5 | Moved to new location but not inspected | 0 | |
| | Drive thru only/youth inspector has no drivers | | |
| N6 | license | 0 | |
| N7 | Tobacco out of stock | 1 | |
| N8 | Run out of time | 0 | |
| N9 | Other noncompletion (see below) | 16 | |
| Total (Eligible | | | |
| Noncompletes) | | | 21 |
| l1 | Out of Business | 24 | |
| 12 | Does not sell tobacco products | 17 | |
| 13 | Inaccessible by youth | 122 | |
| 14 | Private club or private residence | 8 | |
| 15 | Temporary closure | 0 | |
| 16 | Unlocatable | 5 | |
| 17 | Wholesale only/Carton sale only | 3 | |
| 18 | Vending machine broken | 0 | |
| 19 | Duplicate | 3 | |
| I10 | Other ineligibility (see below) | 4 | |
| Total (Ineligibles) | | | 186 |
| Grand Total | | | 812 |

Give reasons and counts for other noncompletion:

| Reason | Count | | |
|--------|-------|--|--|
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |

Give reasons and counts for other

ineligibility:

| Reason | Count |
|--------|-------|
| | |
| | |
| | |
| | |
| | |

SSES Table 4 (Synar Survey Inspection Results by Youth Inspector Characteristics)

STATE: WA FFY: 2006

Frequency Distribution

| Gender | Age | Number of Inspectors | Attempted Buys | Successful Buys |
|-------------|----------|-------------------------|-------------------|--------------------|
| Male | 14 | 1 | 19 | 1 |
| | 15 | 15 | 99 | 7 |
| | 16 | 9 | 118 | 21 |
| | 17 | 2 | 5 | 0 |
| | 18 | 0 | 0 | 0 |
| | Subtotal | 27 | 241 | 29 |
| Female | 14 | 4 | 63 | 4 |
| | 15 | 17 | 95 | 7 |
| | 16 | 22 | 192 | 17 |
| | 17 | 6 | 14 | 2 |
| | 18 | 0 | 0 | 0 |
| | Subtotal | 49 | 364 | 30 |
| Other | | 0 | 0 | 0 |
| Grand Total | | 76 | 605 | 59 |

Buy Rate in Percent by Age and Gender

| bay hate in i creent by Age and Cenaci | | | | | |
|----------------------------------------|-------|--------|-------|--|--|
| Age | Male | Female | Total | | |
| 14 | 5.3% | 6.3% | 6.1% | | |
| 15 | 7.1% | 7.4% | 7.2% | | |
| 16 | 17.8% | 8.9% | 12.3% | | |
| 17 | 0.0% | 14.3% | 10.5% | | |
| 18 | 0.0% | 0.0% | 0.0% | | |
| Other | | | 0.0% | | |
| Total | 12.0% | 8.2% | 9.8% | | |